IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Daniel C. Guterman et al.

Title:

Novel Multi-State Memory

Application No.:

Unassigned

Filing Date:

Herewith

Examiner:

Unassigned

Group Art Unit:

Unassigned

Docket No.:

SNDK.138USM

Conf. No.:

Unassigned

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) call(s) the documents listed on the enclosed Form PTO-1449 to the Examiner's attention in this patent application.

- Copies of the documents listed on the accompanying Form PTO-1449 are enclosed.
- Copies of the documents listed on the accompanying Form PTO-1449 that are not enclosed were previously submitted in Application No. 10/013,592, from which this Application claims an earlier effective filing date.
- This application has a filing date after June 30, 2003. Copies of the U.S. Patent(s) and U.S. Published Patent Application(s) documents listed on the accompanying Form PTO-1449 are not enclosed.

Citation of these documents shall not be construed as (1) an admission that the documents are prior art with respect to the invention or inventions claimed in this application, (2) a representation that a search has been made (other than as indicated by any cited document), or

Attorney Docket No.: SNDK.138USM Express Mail No.: EV 437668010 US

(3) an admission that the cited information is, or is considered to be, material to patentability as defined in § 1.56(b).

This information disclosure statement is submitted under 37 C.F.R. § 1.97(b) and consequently no fee should be required. The Commissioner is authorized, however, to charge any fee that may be required, or to credit any overpayment, against Deposit Account No. 502664. This form is being submitted in duplicate.

EXPRESS MAIL LABEL NO:

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Respectfully submitted,

Gerald P. Parsons

Reg. No. 24,486

March 24, 2004

Date

PARSONS HSUE & DE RUNTZ LLP 655 Montgomery Street, Suite 1800 San Francisco, CA 94111 (415) 318-1160 (415) 693-0194 (fax)

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APPLICANT INFORMATION DISCLOSURE STATEMENT

Daniel L. Auclair et al.

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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

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